

11/09/01

JC996 U.S. PTO

01-09-02

A

PTO/SB/05 (03-01)

Please type a plus sign (+) inside this box ☐

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Woods, Michelle

Title

New and Improved Sanitary Toothbrush

Express Mail Label No.

ET 471487255US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **24**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **8**]
5. Oath or Declaration [Total Pages **2**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.:

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐

Correspondence address below

Name

Richard A. Catalina, Jr., Esq. (45,372)

Address

Catalina + Associates, Monmouth Shores Corporate Park
1350 Campus Parkway, Suite 200

City

Neptune

State

New Jersey

Zip Code

07753

Country

United States

Telephone

732-919-6060

Fax

732-919-3220

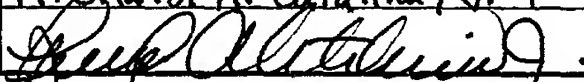
Name (Print/Type)

Richard A. Catalina, Jr.

Registration No. (Attorney/Agent)

45,372

Signature



Date

11-09-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC872 U.S. PTO

10/04/01

11/09/01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 562.00

Complete if Known

Application Number

Filing Date

11-09-01

First Named Inventor

Woods, Michelle Deanna

Examiner Name

Group Art Unit

Attorney Docket No.

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

501472

Deposit
Account
Name

Richard A. Catalina, Jr., Esq.

☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description
101	710	201	355		Utility filing fee
106	320	206	160		Design filing fee
107	490	207	245		Plant filing fee
108	710	208	355		Reissue filing fee
114	150	214	75		Provisional filing fee

Fee Paid

355

SUBTOTAL (1) (\$) 355

2. EXTRA CLAIM FEES

Total Claims 28 -20** = 8 X Fee from below 9 = 72
Independent Claims 1 - 3** = (2) X 40 = 0
Multiple Dependent 135 = 135

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Fee Description
103	18	203	9		Claims in excess of 20
102	80	202	40		Independent claims in excess of 3
104	270	204	35		Multiple dependent claim, if not paid
109	80	209	40		** Reissue independent claims over original patent
110	18	210	9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 207

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0

SUBMITTED BY

Name (Print/Type)

Richard A. Catalina, Jr., Esq.

Registration No.
(Attorney/Agent)

45,372

Complete (if applicable)

Telephone

732-919-6060

Signature

Richard A. Catalina, Jr.

Date

11-09-01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Catalina & Associates
A New Jersey Limited Liability Company
Counselors At Law

Monmouth Shores Corporate Park
1350 Campus Parkway, Suite 200
Neptune, New Jersey 07753
Phone (732) 919-6060
Fax (732) 919-3220

rcatalina@newtechlegal.com

Practice Limited to Intellectual Property Law

Patent, Trademark, Trade Secret, Copyright, Unfair Competition and Advertising

Richard A. Catalina, Jr., Esq.

Registered United States Patent Attorney (45,372)
Admitted to the Bars of the State of New Jersey
Commonwealth of Pennsylvania
District of Columbia

William J. Connelly, Esq.

Registered United States Patent Attorney (44,086)
Admitted to the Bars of the States
of New Jersey and New York

Friday, November 09, 2001

VIA UNITED STATES EXPRESS MAIL ET471487255US

Assistant Commissioner of Patents
BOX – Patent Application
Washington, DC 20231

ET 471487255 US

**RE: Application – New and Improved Sanitary Toothbrush
by Deanna Michelle Woods and Daniel Ferrante**

Dear Sir or Madam:

Enclosed for filing with the United States Patent and Trademark Office are the following materials relative to the above application for a United States patent:

1. Utility Patent Application Transmittal;
2. Power of Attorney;
3. Fee Transmittal Form;
4. Declaration for Utility Patent Application
5. Information Disclosure Statement by Applicant;
6. Specification (**24 pages total**), comprising:
 - i) Background of the Invention;
 - ii) Summary of the Invention;

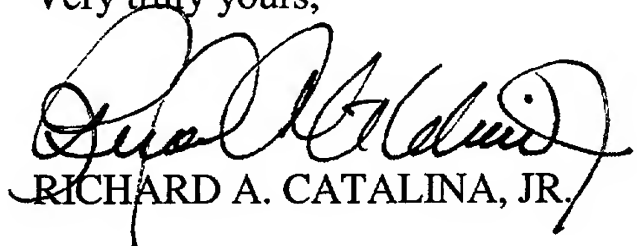
- iii) Brief Description of the Drawings;
 - iv) Detailed Description of the Preferred Embodiments;
 - v) Claims (commencing on separate page), **15 total claims**; and
 - vi) Abstract (commencing on separate page).
- 7. Copies of patents cited in Information Disclosure Statement;
 - 8. Two (2) sets of **fifteen (15) Drawings** (eight pages per set); and
 - 9. Stamped receipt postcard for return.

Please charge all fees incurred in connection with the above application to this firm's Deposit Account 501472.

Kindly mark the enclosed stamped postcard that the USPTO as having received the above materials and return same to me via regular mail.

Thank you for your attention to this matter. Should you have any questions, please do not hesitate to contact me.

Very truly yours,



RICHARD A. CATALINA, JR.

RAC/md
Enc.

cc: Deanna Michelle Woods
Daniel Ferrante